#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Whitehead et al.)
) Group Art Unit: 2772
Serial No.:	09/431,737)
) Before the Examiner: (not yet assigned)
Filed:	11/01/99)
)
For:	MAN MACHINE INTERFACE)
	FOR POWER MANAGEMENT)
	CONTROL SYSTEMS)

PETITION UNDER 37 CFR 1.47(a) IN LIEU OF INVENTORS' SIGNATURE

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The present application includes 11 inventors, of whom only one is currently still employed by Assignee General Electric. Applicants' attorneys mailed to their last known addresses all of the remaining 10 inventors a copy of the specification along with unsigned Assignment and Declaration forms for them to sign and return, and none of them responded. Five of the inventors are currently residing in India and there is no reasonable way to track them down. Two mailings were returned by the Post Office as "return to sender" with no forwarding address. Of the 10 who have left General Electric, only one could be located by phone, and him only as early as May 31, which is insufficient time to obtain the copy of a signed declaration before the six month statutory period runs out on the Notice of Missing Parts. Please refer to the attached table for last known addresses of listed inventors.

As evidence of the diligent efforts made to reach each inventor, attached to this petition are copies of letters sent to each inventor regarding this application and a copy of the declaration sent with

each letter. In addition, are copies of the envelopes of each of the two returned letters.

Additionally, attached hereto is a copy of a declaration executed by Don Whitehead, the sole available inventor, with the signature blocks left blank to indicate that Mr. Whitehead has signed on behalf of the unavailable inventors in accordance with MPEP 409.03(a).

This petition is also accompanied by a Fee Transmittal indicating the inclusion of a \$130 fee in accordance with 37 CFR 1.147 and 37 CFR 1.17(i). However, in the event the Commissioner of Patents deems that any additional fee is required in connection with this application, Applicants' attorneys authorize that such fee be charged to Deposit Account No. 06-1130. Please direct any inquiries to the undersigned.

Respectfully submitted,

WHITEHEAD et al.

CANTOR COLBURN LLP Applicant's Attorneys

Leonard Heyman

Registration No. 40,418

Date:

June 2, 2000

Address:

55 Griffin Road South

Bloomfield, Connecticut 06002

Telephone:

860-286-2929

Fax:

860-286-0115





41PR-7349 41PR-7349 96-1165B 96-1165A 09/431,348 09/431,737

Last known addresses of listed inventors:

Inventor Name	Status	Last Known Address
Don Whitehead	Signed Both	
James Burke	Current adress unknown; did not return declaration	514 West Center St. Ext Southington, CT 06489
Harshad Tanna	Address unknown until 5/31/00	New Information: (h) 973-989-2451 (w) 973-829-5201 44 Center Grove Rd Randolph , NJ
Subhash Garg	Current address unknown; Request returned w/no forwarding address	536 Emmett Street, Apt. B3 Bristol, CT 06010
Veronica Kertesz	Current address unknown; No known number/address – did not return declaration	1433 Pearl Street Boulder, CO 80302
A. Jajeshwar Rao	Current address unknown; did not return declaration	10-39 Ramanthapur Colony Hderabad - INDIA 500013
SS Lakshimi	Current address unknown; did not return declaration	CS2, Widepark Apartments Snehapuri Colony Nacharam Hyderabad - INDIA 500076
K. Venkat-Rao	Current address unknown; did not return declaration	c/o K. Jagannadham, Kunduru vari palem (p.o) Muppalla (M.d) Guntur (dt) - INDIA 522408
N.S. Sai Krishna	Current address unknown; did not return declaration	415 Sanali Heavens Besides Kamma Sangham Ameerpet Hyderabad, INDIA 500016
M.A. Rahim Shareef	Current address unknown; did not return declaration	9-4-87/1/45, MD Lines Hyderabad, INDIA 500008
M. Kailashnath	Current address unknown; request returned w/no forwarding address	555 E. El Camino Real, Apt. 705 Sunnyvale, CA 94087

Intellectual Property Attorneys



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101 Merritt 7 Norwalk, Connecticut 06856 phone: 203-849-8266

January 6, 2000

e-mail: iplawecantorcolburn.com

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fax: 203-849-8292

Michael A. Cantor Philmore H. Colburn II

Keith J. Murphy Leah M. Reimer, Ph.D. David A. Fox William I. Cass Edward J. Ellis Michael J. Rye Pamela J. Curbelo* Andrew C. Ryan James F. McLaughlin Gerow D. Brill Robert J. Feltovic Juan C. Villar* Ralph J. Crispino* Tina Jerome* Wayne R. Grohs Christopher C. Boehm Ross J. Christie Timothy J. Olson Priya M. Mannan

> cf .a.rsei: David S. Fishman Richard A. Menelly

over: cer: Herbert M. Bedingfield George J. Lyman Steven B. Leavitt J. Michael Buchanan

M. Kailashnath 555 E. El Camino Real, Apt. #705 Sunnyvale, CA 94087

Continuation Application of U.S. Serial No. 08/628,533 Re:

MAN MACHINE INTERFACE FOR POWER

MANAGEMENT CONTROL SYSTEM

Our Reference: 96-1165A

Continuation Serial #09/431,737 You're Reference: 41PR-7349; and

Continuation Application of U.S. Serial No. 08/628,533 POWER MANAGEMENT CONTROL SYSTEM

Our Reference: 96-1165B

Continuation Serial #09/431,348 You're Reference: 41PR-7349

Dear Mr. Kailashnath:

The above identified applications were filed in the United States Patent & Trademark Office on October 29, 1999 (09/431,348) and November 1, 1999, (09/431,737) without the formal papers and the payment of the filing fee.



If you have any questions, please let me know.

Very truly yours,

Mary Amiold

Secretary to Phil Colburn

Intellectual Property Attorneys

88 Day Hill Road Windsor, Connecticut 06095 phone: 860-688-4470 fax: 860-688-7016

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at same:
David S. Fishman
Richard A. Menelly

Herbert M. Bedingfield George J. Lyman Steven B. Leavitt J. Michael Buchanan January 6, 2000

M.A. Rahim Shareef 9-4-87/1/45, MD Lines Hyderabad, INDIA 500008

Re: Continuation Application of U.S. Serial No. 08/628,533

For: MAN MACHINE INTERFACE FOR POWER

MANAGEMENT CONTROL SYSTEM

Our Reference: 96-1165A

Continuation Serial #09/431,737 You're Reference: 41PR-7349; and

Continuation Application of U.S. Serial No. 08/628,533 For: POWER MANAGEMENT CONTROL SYSTEM

Our Reference: 96-1165B

Continuation Serial #09/431,348 You're Reference: 41PR-7349

Dear Mr. Shareef:

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or name:
David S. Fishman
Richard A. Menelly

Merbert M. Bedingfield George J. Lyman Steven B. Leavitt J. Michael Buchanan January 6, 2000

N.S. Sai Krishna 415 Sanali Heavens Besides Kamma Sangham Ameerpet Hyderabad, INDIA 500016

Re: Continuation Application of U.S. Serial No. 08/628,533

For: MAN MACHINE INTERFACE FOR POWER

MANAGEMENT CONTROL SYSTEM

Our Reference: 96-1165A Continuation Serial #09/431,737 You're Reference: 41PR-7349; and

Continuation Application of U.S. Serial No. 08/628,533
For: POWER MANAGEMENT CONTROL SYSTEM

Our Reference: 96-1165B Continuation Serial #09/431,348 You're Reference: 41PR-7349

Dear Mr. Krishna:

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If you have any questions, please let me know.

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Secretary to Phil Colburn

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> of carsel: David S. Fishman Richard A. Menelly

Herbert M. Bedingfield George J. Lyman Steven B. Leavitt J. Michael Buchanan K. Venkat-Rao c/o K. Jagannadham, Kunduru vari palem (p.o) Muppalla (M.d) Guntur (dt) - INDIA 522408

Re: Continuation Application of U.S. Serial No. 08/628,533

For: MAN MACHINE INTERFACE FOR POWER

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Our Reference: 96-1165A Continuation Serial #09/431,737 You're Reference: 41PR-7349; and

Continuation Application of U.S. Serial No. 08/628,533
For: POWER MANAGEMENT CONTROL SYSTEM

Our Reference: 96-1165B Continuation Serial #09/431,348 You're Reference: 41PR-7349

Dear Mr. Rao:

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> of arsel: David S. Fishman Richard A. Menelly

Herbert M. Bedingfield George J. Lyman Steven B. Leavitt J. Michael Buchanan January 6, 2000

SS Lakshmi CS2, Widepark Apartments Snehapuri Colony Nacharam Hyderabad - INDIA 500076

Re: Continuation Application of U.S. Serial No. 08/628,533

For: MAN MACHINE INTERFACE FOR POWER

MANAGEMENT CONTROL SYSTEM

Our Reference: 96-1165A Continuation Serial #09/431,737 You're Reference: 41PR-7349; and

Continuation Application of U.S. Serial No. 08/628,533 For: POWER MANAGEMENT CONTROL SYSTEM

Our Reference: 96-1165B

Continuation Serial #09/431,348 You're Reference: 41PR-7349

Dear Mr. Lakshmi:

The above identified applications were filed in the United States Patent & Trademark Office on October 29, 1999 (09/431,348) and November 1, 1999, (09/431,737) without the formal papers and the payment of the filing fee.

We have prepared and enclose herewith for execution, the Declarations/Power of Attorney forms and Assignments of the above-referenced inventions to General Electric Company. These papers are attached to copies of the applications as filed.

"Not admitted in Constitute

Intellectual Property Attorneys

Please sign both Declarations and both Assignments in the places provided for your signature and marked "sign here" and return all documents to me immediately for filing with the U.S. Patent and Trademark office.

If you have any questions, please let me know.

Very truly yours,

Mary Arnold Secretary to Phil Colburn

Intellectual Property Attorneys

88 Day Hill Road Windsor, Connecticut 06095 phone: 860-688-4470 fax: 860-688-7016

101 Merritt 7 Norwalk, Connecticut 06856 phone: 203-849-8266 fax: 203-849-8292

January 6, 2000

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A. Rajeshwar Rao 10-39 Ramanthapur Colony Hyderabad - INDIA 500013



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Keith J. Murphy Leah M. Reimer, Ph.D. David A. Fox William I. Cass Edward J. Ellis Michael J. Rye Pamela I. Curbelo* Andrew C. Ryan James F. McLaughlin Gerow D. Brill Robert J. Feltovic Juan C. Villar* Ralph J. Crispino* Tina Jerome* Wayne R. Grohs Christopher C. Boehm Ross J. Christie Timothy J. Olson Priya M. Mannan

> of area: David S. Fishman Richard A. Menelly

Herbert M. Bedingfield George J. Lyman Steven B. Leavitt J. Michael Buchanan Re: Continuation Application of U.S. Serial No. 08/628,533

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Our Reference: 96-1165A

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Very truly yours,

Mary Amold

Secretary to Phil Colburn

Intellectual Property Attorneys

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> of sansei: David S. Fishman Richard A. Menelly

pateri aperi: Herbert M. Bedingfield George J. Lyman Steven B. Leavitt J. Michael Buchanan

January 6, 2000

Ms. Veronica Kertesz General Electric Company Sr. Program Manager Corporate 1433 Pearl Street Boulder, CO 80302

Re: Continuation Application of U.S. Serial No. 08/628,533

MAN MACHINE INTERFACE FOR POWER

MANAGEMENT CONTROL SYSTEM

Our Reference: 96-1165A Continuation Serial #09/431,737 You're Reference: 41PR-7349; and

Continuation Application of U.S. Serial No. 08/628,533 POWER MANAGEMENT CONTROL SYSTEM

Our Reference: 96-1165B Continuation Serial #09/431,348 You're Reference: 41PR-7349

Dear Ms. Kertesz:

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Viary Amold

Secretary to Phil Colburn

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January 6, 2000

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Subhash Garg 536 Emmett Street, Apt. B3 Bristol, CT 06010



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> of mrsel: David S. Fishman Richard A. Menelly

Priya M. Mannan

Herbert M. Bedingfield George J. Lyman Steven B. Leavitt J. Michael Buchanan Re: Continuation Application of U.S. Serial No. 08/628,533

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Continuation Application of U.S. Serial No. 08/628,533 For: POWER MANAGEMENT CONTROL SYSTEM

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Dear Mr. Garg:

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Intellectual Property Attorneys

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If you have any questions, please let me know.

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Mary Amold

Secretary to Phil Colburn

Intellectual Property Attorneys

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101 Merritt 7 Norwalk, Connecticut 06856 phone: 203-849-8266 fax: 203-849-8292

January 6, 2000

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Harshad Tanna 126 New Britain Avenue, Apt. D2 Plainville, CT 06062



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Herbert M. Bedingfield George J. Lyman Steven B. Leavitt J. Michael Buchanan Re: Continuation Application of U.S. Serial No. 08/628,533

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Continuation Application of U.S. Serial No. 08/628,533
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Our Reference: 96-1165B Continuation Serial #09/431,348 You're Reference: 41PR-7349

Dear Mr. Tanna:

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Not admitted in Carecticat



If you have any questions, please let me know.

Very truly yours,

Mary Arnold

Secretary to Phil Colburn

Intellectual Property Attorneys

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January 6, 2000

e-mail: iplawecantorcolburn.com web: www.cantorcolburn.com

James Burke 514 West Center St Ext Southington, CT 06489



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Dear Mr. Burke:

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Intellectual Property Attorneys

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Mary Arnold

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Mr. Don Whitehead 127 Ross Road P.O. Box 235 Rockfall, CT 06481-0235



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patent agent: Herbert M. Bedingfield George J. Lyman Steven B. Leavitt J. Michael Buchanan Re: Continuation Application of U.S. Serial No. 08/628,533

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